



## Request for permission to submit a PhD thesis as a series of publications

**Important note to supervisors and students** – submission of a thesis as a series of publications is different from a normal chapter-by-chapter thesis **only in format**. Examiners are given the same set of instructions for all PhD thesis examinations. The fact that a number of papers have been published/will be published is not sufficient to justify submission in this format, and may disadvantage a candidate's examination if examiners cannot see evidence of the student's depth and breadth of study, knowledge of the field, ability to critically analyse data and results and make conclusions.

Name: \_\_\_\_\_  
Student ID: \_\_\_\_\_  
School: \_\_\_\_\_  
Supervisor: \_\_\_\_\_

- 1. Have you completed six full-time semesters (or part-time equivalent)?**  
 Yes (go to next question)  
 No – you cannot apply (yet) to the HDC to submit your thesis in this format
- 2. Did you discuss submitting your thesis as a set of publications at your last Annual Progress Review?**  
 Yes Date of Review \_\_\_\_\_  
 No – please reapply to the HDC after your next review when the appropriateness of this format has been discussed with the review panel

Candidates should note that in a thesis as a series of publications, the majority of papers need to have been published/in press at the time of submission. For example, the inclusion of 3 papers published/in press and 3 or more submitted will not be permitted

- 3. Do you have at least three publications, in which you are the first author AND in which you were responsible for at least 50% of the work?**  
 Yes  
 No – you cannot submit your PhD thesis as a series of publications
- 4. Is the thesis to be presented as a themed, coherent document with a logical order to the included chapters?**  
 Yes  
List the publications you intend to include in your thesis and your justification for their inclusion. (You must indicate if the publications are *submitted, in press or published*).  
 No – you cannot submit your PhD thesis as a series of publications

5. **I have read and understood the following:**

- Papers that are submitted but not yet in press may be included in the thesis, provided that
  - a) the number of such papers represent a minority of the publications; and
  - b) are accompanied by a letter from the supervisor attesting to the 'publishable' quality of the manuscript(s).
- Material that is not intended for submission before end of candidature will not be included.
- If required, supplementary files will need to be provided (as an appendix) on detailed methodology, troubleshooting techniques, additional Figures etc, directly related to the publications.
- The bibliography may be presented at the end of each Chapter.
- The supervisor will provide a signed statement (presented at the beginning of the thesis) that all co-authors have agreed to the submission of the nominated papers as part of the Doctoral Thesis.
- The Declarations page must be signed.

Name: \_\_\_\_\_  
Date: \_\_\_\_\_

Signature: \_\_\_\_\_

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**Office use only**

HDC meeting date:

Approved:

Approval Conditions

*(which papers yet to be published etc)*

Date for follow up by Graduate Research School:

Confirmed approved conditions met:

Not approved *(reason)*:

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**PhD by Publication: Minimum requirements for number of papers published or 'in press'**

The following table outlines the Faculty of Medicine minimum requirements for papers published or in press when the Higher Degree Committee considers a request for permission to submit a PhD thesis by publication.

Total Number of Papers Included in Thesis	Minimum Number Published or 'in Press' Papers	Maximum Number of Submitted or 'Submission Ready' Papers
3	3	0
4	3	1
5	3	2
6	4	2
7	4	3
8	5	3
9	5	4

Current as at March, 2011.